Doc Code: TRAN.LET

Document Description: Transmittal Letter

PTO/SB/21 (07-09)

	1 10/08/21 (01 00
	Approved for use through 07/31/2012. OMB 0651-003
U.S.	Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM					Application Number	10593227	10593227			
					Filing Date		2006-09-18			
					First Named Inventor	Elizabeth	HARUMI KOBA	RA PESTELL		
					Art Unit	1796				
(to be used for all correspondence after initial filing)				filing)	Examiner Name	Thuy-Ai N	Thuy-Ai N. NGUYEN			
Total Number of Pages in This Submission 7					Attorney Docket Number	HF/1-230	HF/1-23033/A/PCT			
				ENC	LOSURES (Check	-11 414				
_				ENCL	LUSURES (Check	all that appl	- /	r Allowance Communication to TC		
Ш	Fee Trans	mittal Fo	rm	Drawing(s)			Alter Allowance Communication to 10			
	Fee Attached				Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences		
~	Amendme	nt/Renly		l 🔲 🖟	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
				Petition to Convert to a			Proprietary Information			
After Final				Provisional Application Power of Attorney, Revoca		Status Letter				
Affidavits/declaration(s) Extension of Time Request					Change of Correspondence	e Address		us Letter er Enclosure(s) (please Identify		
				\Box	Terminal Disclaimer			w):		
Ш	Express A	bandonn	nent Request	H	Request for Refund					
Information Disclosure Statement			CD, Number of CD(s)							
				Landscape Table on CD						
Certified Copy of Priority Document(s)				Remarks						
Reply to Missing Parts/				IN RESPONSE TO FINAL REJECTION MAILED ON JANUARY 29, 2010.						
Incomplete Application Reply to Missing Parts										
			FR 1.52 or 1.53							
			SIGNA	TURE O	F APPLICANT, ATT	ORNEY,	OR AGENT			
Firm Name BASF CORPORATION										
Signature /Shiela A. Loggins/										
Printed name Shiela A. Loggins			. Loggins							
Date		2010-03	-24			Reg. No.	Reg. No. 56,221			
			CI	ERTIFIC	ATE OF TRANSMIS	SION/MA	ILING			
sufficier		as first cl						United States Postal Service with Alexandria, VA 22313-1450 on		
Signatu										
Typed or printed name							Date			
	F									

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.